

Attorney Docket No.: JJL9602E

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:  
Jung et al.

Serial No.: 09/872,071

Filed: June 1, 2001

For: Apparatus and Method for Measuring the Color of  
Teeth

Examiner: Lewis, R.

Group Art Unit: 3732


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JUN 21 2001

GROUP 3700

EXPEDITED PROCEDURE  
Assistant Commissioner for Patents  
Washington, D.C. 20231FAX TO: Examiner RALPH LEWIS  
Fax No.: (703) 305-3590CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the document(s) listed below are being transmitted by  
facsimile to the U.S. Patent and Trademark Office on the date shown below.

Documents Transmitted:PTO Form 1083 (Orig. + copy)  
Preliminary Amendment(2 pages); and  
(17 pages).Total Pages: 19+1 (including this page)  
\_\_\_\_\_  
Alan E. Loudermilk (No. 32,788)  
10950 N. Blaney Ave., Ste. B  
Cupertino, CA 95014  
(408)342-18666/20/01  
\_\_\_\_\_  
Date

**PATENT**  
FORM PTO-1083

Attorney Docket No.: JYL-9602E

In re Application of: JUNG ET AL.  
 Serial No.: 09/872,071  
 Filed: June 1, 2001  
 For: Apparatus and Method for Measuring the Color of Teeth

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**GROUP 3700**

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

Sir:  
 Transmitted herewith is a Preliminary Amendment in the above-identified application.

☒ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A Terminal Disclaimer is enclosed.

☐ No additional fee is required.

The fee has been calculated as shown below:

|                                                         | (Col. 1)                                  |       | (Col. 2)                              | (Col. 3)         |
|---------------------------------------------------------|-------------------------------------------|-------|---------------------------------------|------------------|
|                                                         | Claims<br>Remaining<br>After<br>Amendment |       | Highest No.<br>Previously<br>Paid For | Present<br>Extra |
| TOTAL                                                   | * 80                                      | MINUS | ** 20                                 | = 60             |
| INDEP.                                                  | * 2                                       | MINUS | *** 3                                 | =                |
| +-+FIRST PRESENTATION OF MULTIPLE DEPENDENT<br>+-+CLAIM |                                           |       |                                       |                  |

**SMALL ENTITY**

| Rate                   | Addit.<br>Fee |
|------------------------|---------------|
| x 9=                   | \$ 540.00     |
| x 40=                  | \$            |
| + 135=                 | \$            |
| TOTAL<br>ADDIT.<br>FEE | \$ 540.00     |

**OTHER THAN A  
SMALL ENTITY**

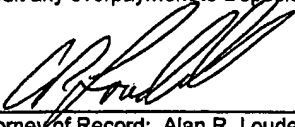
| Rate                   | Addit.<br>Fee |
|------------------------|---------------|
| x 18=                  | \$            |
| x 80=                  | \$            |
| + 270=                 | \$            |
| TOTAL<br>ADDIT.<br>FEE | \$            |

OR

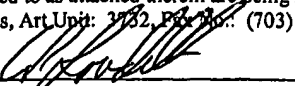
OR

☒ Please charge Deposit Account No. 50-0251 in the amount of \$\_\_\_\_\_. An additional copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees including extra claims, processing or extension fees associated with this communication or credit any overpayment to Deposit Account No. 50-0251.

Dated: 6/20/01
  
 Attorney of Record: Alan R. Loudermilk
Reg. No.: 32,788**CERTIFICATION UNDER 37 C.F.R. 1.10**

I hereby certify that this Transmittal and the documents referred to as attached therein are being FAXED to the United States Postal Service on this date 6/20/01, directed to: Examiner Ralph Lewis, Art Unit: 3732, P.O. Box: (703) 305-3590.

  
 By: Alan R. Loudermilk